

PCT







## **PRIORITY**

COMPLIANCE WITH RULE 17.1(a) OR (b)



The Patent Office Concept House Cardiff Road Newport South Wales NP10 8<del>QQ</del>

WIPO

REC'D 0 6 JUL 2004

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before reregistration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

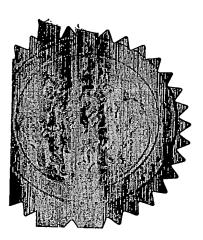
In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Dated

8 June 2004



### Patents Form 1/77

\_\_\_cuts Act 1977 (Rule 16)



Manager Smitsth

Request for grant of a patent

(See the notes on the back of this form. You a + also get en explanatory leaflet from the Putent Office to I slp you fill in this form)

THE PATENT OFFICE JA 1 5 MAY 2003

RECEIVED BY FAX

The Patent Office

Cardiff Road Newport South Wales NP10 8QQ

-1. Your reference-

TMG/P71128

 Patent application number (The Patent Office will fill in the 0311174.7

P01/7700 0.00-0311174-7 MAY 2003

 Full name, address and postcode of the or of each applicant (underline all surna tex)

BRIAN FRANCIS <u>BAKER</u> 38 STANHOPE ROAD LONGWELL GREEN BRISTOL BS30 9AH

Patents ADP number (if you know t)

If the applicant is a corporate by fly, give the country/state of its incorporatio

8631590001

4. Title of the invention

ANTI-VIRAL CLEANING COMPOSITION

5. Name of your agent (4 you bave o a)

"Address for service" in the United Kingdom to which all correspondence she ald be sent (including the postcode)

T M GREGORY & CO 26 CYRIL STREET NORTHAMPTON NN1 5EL

Patents ADP number (Wyou know b)

43032001

6. If you are declaring priority from one or more earlier patent applications, give he country and the date of filing of the cr o cach of these earlier applications and (fyrou kn w a) the or each application number Country Priority application number
(If you know it)

Date of filing
(day / month / year)

 If this application is divided or c herwise derived from an earlier UK application, give the number and the filing due of the earlier application Number of earlier application

Date of filing
(day / month / year)

 Is a statement of inventorship as it of right to grant of a patent required in a upport of this request? (Answer Tes' if:

a) any applicant named in part 3 is 1 it an inventor, or

b) there is an inventor who is no na sed as an applicant, or

c) any named applicant is a conform body. See note (d))

## Cots Form 1/77

Enter the number of sheets for at 7 of the following items you are filing wit I this form. Do not count copies of the same document

Continuation slice | of this form

91 59452612B

Description

Claim(s)

Abstract

Drawing(t)

10. If you are also filing any of the fc lowing, state how many against each ite ..

Prior :y documents

· Translations of prior by documents

Statement of invento: thip and right to grant of a patent (Pa unis Form 7/77)

Request for preliminal / examination and search (Pr snis Form 9/77)

Request for substantiv : examination Rat nts Form 10/77)

Any of ar documents

(Please specify)

I/We request the grant of a patent on the basis of this application.

Signature T M Gregory & &

15.05.03

Date

12. Name and daytime telephone of inber of person to contact in the United Lingdom

T M GREGORY

01604

632436

## Warning

11.

After an application for a patent b is been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977, You will be informed if it is necessary to probibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Paten Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for till same invention and either no direction probibiting publication or communication has been given, or any such direction has been revoked.

- a) If you need help to fill in this firm or you have any questions, please contact the Patent Office on 08459 500505.
- i) Write your answers in capital. tters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sbeet of paper and write "see c ntinuation sheet" in the relevant part(s). Any continuation sheet should be
- d}. If you bave answered 'Yes' Pat ints Form 7/77 will need to be filed.
- Once you have filled in the for a you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.



### INTI-VIRAL CLEANING COMPOSITION

The present invention relates to a liquid cleansing composition having an anti-viral action.

More particularly, but not exclusively, it relates to a surface cleansing composition having both anti-viral and anti-bacterial activity.

There is increasing co cern about bacterial and viral infections being transmitted to patients and staff in hospitals and the like. One vector of infection is believed to be incompletely disinfected surfaces, v hich may harbour bacteria and/or viruses that are resistant to existing surface cleaning agen s. There is a strong suspicion that the spread of the recent SARS (Severe Acute Respiratory Syndrome) outbreak may have been linked to the ability of the SARS virus to resist conventional cleaning agents/disinfectants. Viruses spread from an infected patient thus r main viable and ready to be picked up by and to infect other patients and medical staff. Of aer pathogens, such as the MRSA bacterium, are also suspected to be surviving existing surface cleaning/disinfecting agents and routines.

It is known to use cation c surfactants, such as quaternary ammonium salts, as dual-purpose surface cleaning agents and bactericides. However, while such materials are generally found to be sufficient to deal with, say, food-poisoning bacteria in a food preparation environment, they are not regarded a sufficiently active to handle more dangerous and more resistant pathogens in a medical context.

Alcohols, such as iso-prepanol, and halogens, such as iodine, have in the past been used as relatively crude topical cosinfecting agents around wounds and skin lesions, but they have not proven suitable for wide area cleaning of hard surfaces and the like. For example, iodine can stain many surfaces, and its use at high concentrations is limited by safety considerations.

It is hence an object of the present invention to provide a liquid cleansing and disinfecting preparation, suitable fit use on hard surfaces, with a high anti-viral and anti-bacterial effectiveness.

According to the present invention, there is provided an aqueous surface cleaning and disinfecting preparation comprising at least one alighatic alcohol, a long-chain alkyl polyamine compound, and indine.

Preferably, the long-the n alkyl polyamine compound comprises a long-chain alkyl triamine compound.

The composition may comprise a mixture of long-chain alkyl polyamine compounds having a range of different alkyl hain lengths.

Advantageously, the long-chain alkyl polyamine compound comprises a compound of the general formula R-NH-(CH<sub>2</sub>)<sub>m</sub>-NH-(CH<sub>2</sub>)<sub>n</sub>-NH<sub>2</sub>, where R is a linear or branched alkyl chain comprising at least eight carbon atoms, and each of m and n equals either 2 or 3.

R may be a linear or ranched alkyl chain comprising between ten and fourteen carbon atoms.

Each of m and n may equal 3.

Preferably, the at least one aliphatic alcohol comprises between one and four carbon atoms.

Advantageously, the composition comprises two aliphatic alcohols.

Optionally, the compos tion comprises ethanol and n-propanol.

The composition may a mprise between 10% and 30% by volume aliphatic alcohols.

Advantageously, the composition comprises between 15% and 25% by volume aliphatic alcohols.

The composition may comprise between 10% and 20% by volume ethanol and between 5% and 10% by volume 12-p opanol.

Optionally, the composit on may comprise between 14% and 16% by volume ethanol and between 5% and 7% by volume n-propanol.

The composition preferal ly comprises up to 0.5% by weight iodine.

Advantageously, the composition comprises between 0.1% and 0.5% by weight iodine.

Optionally, the compositi in may comprise  $0.33\% \pm 0.05\%$  by weight iodine.

The composition prefera ly comprises between 10% and 30% by volume of the long-chain alkyl polyamine compound or compounds.

Advantageously, the con position comprises between 15% and 25% of the long-chain alkyl polyamine compound or ompounds.

Optionally, the composit on may comprise  $20\% \pm 2\%$  of the long-chain alkyl polyamine compound or compounds

The composition may comprise a complexing agent adapted to form a complex with the iodine.

The composition may con prise at least one buffering agent, such as nitrilotriacetic acid or its salts.

The composition may omprise at least one wetting agent, such as a polyglycol ether, optionally a polyethylene glycol ether or a polypropylene glycol ether.

An embodiment of the 1 esent invention will now be described more particularly by way of example.

An aqueous surface clear ing composition was prepared, comprising:

NTA 89% powde	0.85  kg
Ethanol	15.0 litres
n-Propanol .	6.0 litres
Topanol O FG	0.55 litres
Sandoteric SC	2.42 litres
Sandozin NRW conc	6.95 litres
Sandoteric ABD	4.45 litres
Triameen Y12D-; D	19.99 litres
Deionised water	43.4524 litres
Iodine (solid)	0.3376 kg

The composition appeare I as a pale yellow clear liquid with a pH of approximately 8 and a slight alcoholic odour.

NTA is nitrilotriacetic at d trisodium salt, a buffering agent. Topanol O FG is food-grade butylated hydroxytoluen, an antioxidant, sold by Chance & Hunt Ltd. (Topanol is a registered trade mark of CI plc). Sandozin NRW conc is a polyethoxylate ether sold by

Clariant as a wetting age. I. It also forms a relatively stable complex with iodine. Sandoteric SC is a sulphobetaine are photeric surfactant, which acts as a detergent, and Sandoteric ABD is a complex mixture of imphoteric surfactants acting as a detergent and having a degree of bactericidal activity. Be he are sold by Clariant. (Sandozin and Sandoteric are registered trade marks of Novartis & A.) Triameen Y12D-30 is a long-chain alkyl triamine of the general formula R'-NH-C<sub>3</sub>H<sub>6</sub>-NI -C<sub>3</sub>H<sub>6</sub>-NH<sub>2</sub>, where R' is a "tallow alkyl" — a naturally-derived mixture of alkyl chains o different lengths, the most common of which is a dodecyl chain. It is sold by Akzo Nobel.

It is believed that in a mitably buffered solution, the triamine forms a cationic species. Together with the ampho cric surfactants, it attacks the phospholipid membranes which form the outer wall of a bacte lum or the capsid of a virus. In most cases, these membranes are ruptured or lysed, leading to release of the bacterium's DNA or the virus' RNA, as the case may be. The complexed fodine and the alcohols are believed to act in conjunction on viral RNA, effectively destroying it and eradicating the virus. The triamine and the amphoteric surfactants are believed at their to attack and cleave bacterial DNA, or to bind to critical parts of the helix, in either case preventing it from replicating. The alcohols may also contribute to the attack on the membranes.

Even where the membrines are not sufficiently damaged to release their contents for destruction, the composition is found to inactivate the bacterium or virus for prolonged periods (at least 4 days in current testing, much longer than for current cleaners/disinfectants).

The combined action of the components of the composition is thus to break up and destroy a majority of bacteria and viruses, and to inactivate undestroyed bacteria and viruses for prolonged periods. The composition also has a conventional detergent/cleansing effect, removing macroscopic at lling from a surface to which it is applied, as well as washing off undestroyed bacteria/viruses and the debris of the destroyed. It has been found to have minimal deleterious offent on the surfaces tested, and does not stain surfaces as would conventional formulation containing similar levels of iodine.

It is hypothesised that compositions with higher levels of iodine may be useful in some applications, although al erations to the other components, such as raised levels of one or both alcohols, may then I be needed for stability.

The composition also has a degree of activity against fungi, moulds and yeasts, although it is believed that a modified a simulation, for example with an alternative alcohol blend, might be required for full effective less against the tougher walls of fungal spore cells and the like.

Testing has shown that the composition passes the standard "555-challenge" test (see British Standard BS EN 1276:15 )7 and the French Afnor test). As an effective anti-viral and anti-bacterial cleansing agent it may be categorised as a (2) category disinfectant in the system employed by the UK Na lonal Health Service, suitable for cleaning in "medium high risk" areas.

In the stringent RNA construction test using canine policyirus and Norwalk virus, the composition described passes the test at very high RNA concentrations, considerably outperforming conventicual systems. It is therefore believed that the composition is

Ż

sufficiently active that even robust and highly resistant pathogens such as the SARS virus will be substantially corepletely eliminated by a simple washing treatment.

0068987:15-May-03:03:51

PCT/GB2004/002148

# This Page is inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

A	BLACK BORDERS
X	IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
M	FADED TEXT OR DRAWING
X	BLURED OR ILLEGIBLE TEXT OR DRAWING
X	SKEWED/SLANTED IMAGES
	COLORED OR BLACK AND WHITE PHOTOGRAPHS
	GRAY SCALE DOCUMENTS
	LINES OR MARKS ON ORIGINAL DOCUMENT
	REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
	OTHER:

IMAGES ARE BEST AVAILABLE COPY.
As rescanning documents will not correct images problems checked, please do not report the problems to the IFW Image Problem Mailbox